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SEPTEMBER 01, 1998
TOWNSEND AND TOWNSEND AND CREW LLP
KEVIN L. BASTIAN
TWO EMBARCEDERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 06/15/1998

REEL/FRAME: 9260/0514
NUMBER OF PAGES: 13

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NIELSEN, LORETTA

DOC DATE: 04/16/1998

ASSIGNOR:

HOROWITZ, JO ANN

DOC DATE: 04/23/1998

ASSIGNOR:

MANEVAL, DANIEL C.

DOC DATE: 04/22/1998

ASSIGNOR:

DEMERS, G. WILLIAM

DOC DATE: 04/22/1998

ASSIGNOR:

RYBAK, MARY ELLEN

DOC DATE: 04/23/1998

ASSIGNOR:

RESNICK, GENE

DOC DATE: 04/23/1998

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9260/0514 PAGE 2

ASSIGNEE:

CANJI, INC.

SUITE 302

3030 SCIENCE PARK DRIVE

SAN DIEGO, CALIFORNIA 92121

SERIAL NUMBER: 09024932

FILING DATE: 02/17/1998

PATENT NUMBER:

ISSUE DATE:

JEEVON JONES, EXAMINER

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

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06-26-1998

Jorney Docket No. 016930-003710US

FORM PTO-1595
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Patent and Trademark Office

To the Honorable Asst. Commissioner f.

100748322

ginal documents or copy thereof.

1. Name of conveying party(ies):

Loretta NIELSEN, Jo Ann HOROWITZ, Daniel C.
MANEVAL, G. William DEMERS, Mary Ellen RYBAK and
Gene RESNICK

2. Name and address of receiving party(ies):

Name: Canji, Inc.

Internal Address: Suite 302

Street Address: 3030 Science Park Drive

City: San Diego State: California ZIP: 92121

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: Nielsen, April 16, 1998; Horowitz, April
23, 1998; Maneval, April 22, 1998; Demers, April 22,
1998; Rybak, April 23, 1998; and Resnick, April 23, 1998.Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/024,932

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin L. Bastian
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or
during the pendency of this application, or credit any
overpayment, to deposit account

8. Deposit account number: 20-1430

06/24/1998 JSHABAZZ 00000217 201430 09024932

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Kevin L. Bastian
Name of Person Signing

Signature

6/10/98
Date

Atty. Reg. No. 34,774

Total number of pages including cover sheet, attachments, and document: 13

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

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ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Loretta Nielsen of 60 Midvale Avenue, Millington, New Jersey 07946, Jo Ann Horowitz of K-15-3-3315, 2015 Galloping Hill Road, Kenilworth, New Jersey 07033-0539, Daniel C. Maneval of 12578 Cavallo Street, San Diego, California 92130, G. William Demers of 12976 Carmel Creek Road, #177, San Diego, California 92130, Mary Ellen Rybak of 5 Chesterfield Drive, Warren, New Jersey 07059 and Gene Resnick of 53. Secor Road, Scarsdale, New York 10583, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **COMBINED TUMOR SUPPRESSOR GENE THERAPY AND CHEMOTHERAPY IN THE TREATMENT OF NEOPLASMS**

Date(s) of execution of Declaration: April 30, 1998

Filing date: February 17, 1998 Application No.: 09/024,932; and

WHEREAS, CANJI, INC., a Delaware corporation, located at 3030 Science Park Drive, Suite 302, San Diego, California 92121, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully

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may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: April 16, 1998

Loretta R. Nielsen
Loretta Nielsen

STATE OF New Jersey

COUNTY OF Essex

On April 16, 1998, before me, Loretta R. Nielsen (here insert name and title of the officer), personally appeared Loretta Nielsen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

DAWN A. SCOTT
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Feb, 16, 2000

Signature Dawn Scott (Seal)

Date: 4-16-98

Jo Ann Horowitz
Jo Ann Horowitz 4/23/98

STATE OF New Jersey
April 23, 1998

COUNTY OF Marlborough

On April 23, 1998, before me, Jo Ann Horowitz (here insert name and title of the officer), personally appeared Jo Ann Horowitz, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Marion A. Romanczuk

MARION A. ROMANCZUK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 17 2000

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Date:

4/22/98

Daniel C. Maneval
Daniel C. Maneval

STATE OF

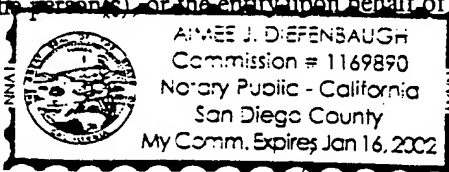
California

COUNTY OF

San Diego

On April 22, 1998, before me, Aimee J. Diefenbaugh (here insert name and title of the officer), personally appeared Daniel C. Maneval, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(~~s~~) whose name(~~s~~) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, execute the instrument.

WITNESS my hand and official seal.



Signature

Date:

22 April 98

(Seal)

G. William Demers
G. William Demers

STATE OF

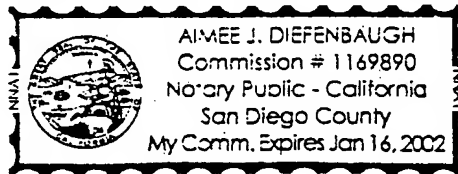
California

COUNTY OF

San Diego

On April 22, 1998, before me, Aimee J. Diefenbaugh (here insert name and title of the officer), personally appeared G. William Demers, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(~~s~~) whose name(~~s~~) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, execute the instrument.

WITNESS my hand and official seal.



Signature

(Seal)

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Date: April 23, 1998

Mary Ellen Rybak
Mary Ellen Rybak

STATE OF

N.J.

COUNTY OF

Morris

On April 23, 1998 before me, MARY ELLEN Rybak (here insert name and title of the officer), personally appeared Mary Ellen Rybak, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

Date: _____

Gene Resnick

STATE OF _____

COUNTY OF _____

On _____, before me, _____ (here insert name and title of the officer), personally appeared Gene Resnick, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Marion A. Romanczuk
ASSIGN.MRG 966

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MARION A. ROMANCZUK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 17 2000

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Date: _____

Mary Ellen Rybak

STATE OF _____

COUNTY OF _____

On _____, before me, _____ (here insert name and title of the officer), personally appeared Mary Ellen Rybak, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

Date: ²³ April 30, 1998

Gene Resnick
Gene Resnick

STATE OF New York

COUNTY OF Westchester

On April 23rd 1998, before me, Gene Resnick (here insert name and title of the officer), personally appeared Gene Resnick, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Melanie Bolan (Seal)
ASSIGN.MRG 9/96

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MELANIE BOLAN
Notary Public, State of New York
No. 5005855
Qualified in Westchester County 99
Commission Expires December 21, 19__

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